

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	4032217
<b>Application Number:</b>	10042371
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	6761
<b>Title of Invention:</b>	Systems and methods for creating and trading dynamic securities
<b>First Named Inventor/Applicant Name:</b>	Andrew C. Gilbert
<b>Customer Number:</b>	64558
<b>Filer:</b>	Glen Farbanish/LAURA ALTO
<b>Filer Authorized By:</b>	Glen Farbanish
<b>Attorney Docket Number:</b>	01-1046
<b>Receipt Date:</b>	30-SEP-2008
<b>Filing Date:</b>	27-DEC-2001
<b>Time Stamp:</b>	14:19:27
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$180
RAM confirmation Number	3179
Deposit Account	503938
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement Letter	01-1046_080930_IDS.pdf	24214 fe8dc93e36da273c3ca48a3458e5de26a c93a	no	2
<b>Warnings:</b>					
<b>Information:</b>					
2	Information Disclosure Statement (IDS) Filed (SB/08)	01-1046_080930_1449.pdf	32121 49c7644e9f317b5d805d228f74266235c65 d863	no	2
<b>Warnings:</b>					
<b>Information:</b>					
This is not an USPTO supplied IDS fillable form					
3	NPL Documents	NPL_1985_Barrons_Dictionary_Finance_Investment_Terms_Page304.pdf	136137 39a2e03212e7be95c8e9505e3e6fccc538 9716	no	1
<b>Warnings:</b>					
<b>Information:</b>					
4	NPL Documents	NPL_2000_Barrons_Dictionary_Business_Terms_pp_118_662.pdf	243916 d970802d34c6c0c238bd7730eb33e6cec7ef6 a90f	no	2
<b>Warnings:</b>					
<b>Information:</b>					
5	NPL Documents	NPL_1998_Downes_Dictionary_Terms_pp_380_689.pdf	46430 368ba06a2385e1308f7c08a02112c251c b81de	no	4
<b>Warnings:</b>					
<b>Information:</b>					
6	Fee Worksheet (PTO-06)	fee-info.pdf	29861 16d50c228fcd3c199cd784f4cc5701e0343 39418	no	2
<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (in bytes):</b>				512679	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.